

Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td>09/944,788</td> </tr> <tr> <td>Filing Date</td> <td>August 31, 2001</td> </tr> <tr> <td>First Named Inventor</td> <td>Alfonso Valdes</td> </tr> <tr> <td>Art Unit</td> <td>3621</td> </tr> <tr> <td>Examiner Name</td> <td>Sherr, Cristina O.</td> </tr> <tr> <td>Attorney Docket Number</td> <td>10454-022001 (SRI/4190-4)</td> </tr> </table>		Application Number	09/944,788	Filing Date	August 31, 2001	First Named Inventor	Alfonso Valdes	Art Unit	3621	Examiner Name	Sherr, Cristina O.	Attorney Docket Number	10454-022001 (SRI/4190-4)
Application Number	09/944,788														
Filing Date	August 31, 2001														
First Named Inventor	Alfonso Valdes														
Art Unit	3621														
Examiner Name	Sherr, Cristina O.														
Attorney Docket Number	10454-022001 (SRI/4190-4)														
Sheet	1	of	2												

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	C11	EXHIBIT A-9 TO THE SYMANTEC CORPORATION'S SECOND SUPPLEMENTAL RESPONSES TO SRI INTERNATIONAL, INC.'S INTERROGATORIES NOS. 6 AND 11, DISTRIBUTED INTRUSION DETECTION SYSTEM, "DIDS FEBRUARY 1991 AND DIDS OCTOBER 1991", EACH OF DIDS FEBRUARY 1991 AND DIDS OCTOBER 1991 INVALIDATE THE INDICATED CLAIMS UNDER 35 U.S.C. § 102 (b), pp. 1-88, November 15, 2005.	
	C12	EXHIBIT A-10 TO THE SYMANTEC CORPORATION'S SECOND SUPPLEMENTAL RESPONSES TO SRI INTERNATIONAL, INC.'S INTERROGATORIES NOS. 6 AND 11, GRAPH BASED INTRUSION DETECTION SYSTEM FOR LARGE NETWORKS, "GRIDS 1996 AND GRIDS 1997", GRIDS 1996 AND GRIDS 1997 INVALIDATE THE INDICATED CLAIMS UNDER 35 U.S.C. § 102 (b), pp. 1-39, November 15, 2005.	
	C13	EXHIBIT A-11 TO THE SYMANTEC CORPORATION'S SECOND SUPPLEMENTAL RESPONSES TO SRI INTERNATIONAL, INC.'S INTERROGATORIES NOS. 6 AND 11, WHEELGROUP CORPORATION, "NETRANGER", EACH OF NETRANGER USER GUIDE 1.3.1 INVALIDATE THE INDICATED CLAIMS UNDER 35 U.S.C. § 102 (b), pp. 1-34, November 15, 2005.	
	C14	EXHIBIT A-12 TO THE SYMANTEC CORPORATION'S SECOND SUPPLEMENTAL RESPONSES TO SRI INTERNATIONAL, INC.'S INTERROGATORIES NOS. 6 AND 11, INTERNET SECURITY SYSTEMS, "REALSECURE", REALSECURE INVALIDATES THE INDICATED CLAIMS UNDER 35 U.S.C. § 102 (b), pp. 1-22, November 15, 2005.	
	C15	EXHIBIT A-13 TO THE SYMANTEC CORPORATION'S SECOND SUPPLEMENTAL RESPONSES TO SRI INTERNATIONAL, INC.'S INTERROGATORIES NOS. 6 AND 11, THE ARCHITECTURE OF A NETWORK LEVEL INTRUSION DETECTION SYSTEM, "NETWORK LEVEL INTRUSION DETECTION", NETWORK LEVEL INTRUSION DETECTION INVALIDATES THE INDICATED CLAIMS UNDER 35 U.S.C. § 102 (b), pp. 1-20, November 15, 2005.	
	C16	EXHIBIT A-14 TO THE SYMANTEC CORPORATION'S SECOND SUPPLEMENTAL RESPONSES TO SRI INTERNATIONAL, INC.'S INTERROGATORIES NOS. 6 AND 11, U.S. PATENT NO. 5,825,750 (THOMPSON), U.S. PAT. NO. 5,825,750 (THOMPSON) INVALIDATES THE INDICATED CLAIMS UNDER 35 U.S.C. § 102 (a) AND 102(e), pp. 1-13, November 15, 2005.	
	C17	EXHIBIT A-15 TO THE SYMANTEC CORPORATION'S SECOND SUPPLEMENTAL RESPONSES TO SRI INTERNATIONAL, INC.'S INTERROGATORIES NOS. 6 AND 11, FAULT DETECTION IN AN ETHERNET NETWORK VIA ANOMALY DETECTORS, FAULT DETECTION IN AN ETHERNET NETWORK VIA ANOMALY DETECTORS INVALIDATES THE INDICATED CLAIMS UNDER 35 U.S.C. § 102 (b), pp. 1-9, November 15, 2005.	
	C18	EXHIBIT A-16 TO THE SYMANTEC CORPORATION'S SECOND SUPPLEMENTAL RESPONSES TO SRI INTERNATIONAL, INC.'S INTERROGATORIES NOS. 6 AND 11, HARRIS CORPORATION, "STAKE OUT NETWORK SURVEILLANCE", STAKE OUT NETWORK SURVEILLANCE INVALIDATES THE INDICATED CLAIMS UNDER 35 U.S.C. § 102 (b), pp. 1-12, November 15, 2005.	
Examiner Signature	/Cristina Sherr/		Date Considered 09/12/2008

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.96. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet	2
-------	---

2

Q

2

100

Attorney Docket Number

**Complete if Known**

Application Number	09/944,788
--------------------	------------

<i>Filing Date</i>	August 31, 2001
--------------------	-----------------

First Named Inventor	Alfonso Valdes
----------------------	----------------

Art Unit	3621
----------	------

Examiner Name	Sherr, Cristina O.
---------------	--------------------

Attorney Docket Number	10454-022001 (SRI/4190-4)
------------------------	---------------------------

#### NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	C19	EXHIBIT A-17 TO THE SYMANTEC CORPORATION'S SECOND SUPPLEMENTAL RESPONSES TO SRI INTERNATIONAL, INC.'S INTERROGATORIES NOS. 6 AND 11, HP OPENVIEW FOR WINDOWS USER GUIDE, "HP OPENVIEW", HP OPENVIEW AND THE INTERNET STANDARDS INVALIDATE THE INDICATED CLAIMS UNDER 35 U.S.C. § 102 (b) AND/OR 103, pp.1-29, November 15, 2005.	
	C20	EXHIBIT A-18 TO THE SYMANTEC CORPORATION'S SECOND SUPPLEMENTAL RESPONSES TO SRI INTERNATIONAL, INC.'S INTERROGATORIES NOS. 6 AND 11, INTERNETWORK SECURITY MONITOR, "ISM", ISM AND DIDS INVALIDATE THE INDICATED CLAIMS UNDER 35 U.S.C. § 102 (b) OR 103, pp. 1-80, November 15, 2005.	
	C21	EXHIBIT A-19 TO THE SYMANTEC CORPORATION'S SECOND SUPPLEMENTAL RESPONSES TO SRI INTERNATIONAL, INC.'S INTERROGATORIES NOS. 6 AND 11, EMERALD 1997, INTRUSIVE ACTIVITY 1991, NIDES 1994, EMERALD 1997, INTRUSIVE ACTIVITY 1991, AND NIDES 1994 INVALIDATE THE INDICATED CLAIMS UNDER 35 U.S.C. § 102 (b) AND/OR 103, pp. 1-53, November 15, 2005.	
	C22	EXHIBIT A-20 TO THE SYMANTEC CORPORATION'S SECOND SUPPLEMENTAL RESPONSES TO SRI INTERNATIONAL, INC.'S INTERROGATORIES NOS. 6 AND 11, NETSTALKER AND HP OPENVIEW, NETSTALKER AND HP OPENVIEW INVALIDATE THE INDICATED CLAIMS UNDER 35 U.S.C. § 102 (b) AND/OR 103, pp.1-32, November 15, 2005.	
Examiner Signature	/Cristina Sherr/	Date Considered	09/12/2008

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

The collection of information is required by 37 CFR 1.99b. The information is required to obtain or retain a benefit by the public which is to file (and) in the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /C.S./  
662555 1.DOC